

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
17 October 2002 (17.10.2002)

PCT

(10) International Publication Number
WO 02/080884 A2

(51) International Patent Classification⁷: **A61K 9/14**,
47/00, A61P 11/00

(21) International Application Number: PCT/EP02/02948

(22) International Filing Date: 16 March 2002 (16.03.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0107106.7 21 March 2001 (21.03.2001) GB

(71) Applicant (*for all designated States except US*):
BOEHRINGER INGELHEIM PHARMA KG
[DE/DE]; Binger Strasse 173, 55216 Ingelheim am
Rhein (DE).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **BECHTOLD-PE-
TERS, Karoline** [DE/DE]; Ulmenweg 12, 88400
Biberach-Risseegg (DE). **NGUYEN, Hanh** [VN/GB]; 38,
Belle Vue Gardens, Alnwick, Yorkshire NE66 1XX (GB).
ROWLEY, Geoffrey [GB/GB]; 10, Greystoke Avenue,
Ashbrooke, Yorkshire SR2 9DX (GB).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,
SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ,
VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,
NE, SN, TD, TG).

Published:

— *without international search report and to be republished
upon receipt of that report*

*For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

(54) Title: POWDER INHALER FORMULATIONS

(57) Abstract: The present invention relates to new methods for the surface modification of powders. Furthermore the present invention relates to new, improved pharmaceutical dosage forms obtainable by the new methods for surface modification of drugs according to the invention and to the use of these pharmaceutical dosage forms within dry powder inhalation devices (DPI).

BEST AVAILABLE COPY

Powder inhaler formulations

The present invention relates to new methods for the surface modification of powders. Furthermore the present invention relates to new, improved pharmaceutical dosage forms obtainable by the new methods for surface modification of drugs according to the invention and to the use of these pharmaceutical dosage forms within dry powder inhalation devices (DPI).

Background of the invention

Active substances for dry powder inhalation are often prepared by micronization or by spray drying to have an aerodynamic particle size of approximately 5 μm or less enabling lung deposition. Such powders present difficulties in manufacture and handling as well as in dispensing these powders during application due to particle agglomeration, cohesion and adhesion to manufacturing equipment, inhaler devices and container materials.

It is the object of the present invention to provide for new pharmaceutical dosage forms that are producible and applicable without displaying the drawbacks of conventional micronized or spray-dried powders for inhalation. In particular it is the object of the invention to provide for new pharmaceutical dosage forms being characterized by reduced electrostatic chargeability of the microfine active substances thereby improving powder flow properties during the manufacture of DPIs and improving powder dispensing and dispersion properties during application. Moreover, it is the object of the present invention to provide for a process of manufacture of these powders for inhalation.

Description of the invention

Surprisingly it has been found, that the aforementioned object of the invention is solved by an improved pharmaceutical dosage form for the use in a dry powder inhalation device (DPI) which comprises (a) at least one micronized or microfine solid active ingredient, which is soluble in water, (b) optionally a solid, pharmaceutically acceptable carrier excipient, which dilutes the active ingredient (a), (c) a fatty acid or fatty alcohol derivative or a poloxamer, characterized in that the fatty acid or fatty alcohol derivative or poloxamer (c) coats at least partially the surface of (a), or of the agglomerate formed by (a) and (b).

Within the contents of this invention the micronized or microfine solid active ingredients are drugs for medical or diagnostic use. They are generally selected from those medicaments that are applicable via inhalation. Preferably they may be selected from

the group consisting of anti-COPD-agents, anti-asthmatics, anti-migraine agents, anti-infective agents, anti-pain-agents, proteoglycans, therapeutic proteins, peptides and genes. Preferred active ingredients according to the invention are selected from the group consisting of beta-agonists such as Fenoterol, Formoterol and Salmeterol, 5 anticholinergic drugs such as Ipratropium, Oxitropium, and Tiotropium, or combinations of beta-agonists and anticholinergics such as Tiotropium + Formoterol or Salmeterol, interferons such as interferon-alpha, interferon-beta, interferon-gamma or interferon-omega, cytokines such as interleukins and their antagonists or receptors, peptide hormones and analogues such as LHRH analogues, growth 10 hormones and analogues, colony stimulating factors, erythropoietin, TNFs, vaccines, blood factors, enzymes, parathyroid hormone, calcitonin, insulin, antibodies such as antibodies to treat immune diseases, virus infections or lung cancer, alpha-1-antitrypsin, proteoglycans such as heparin or low molecular weight heparins, genes, anti-migraine drugs such as BIBN 4096, wherein Ipratropium, Tiotropium, Fenoterol, 15 Salmeterol, Formoterol, or combinations of Tiotropium with Formoterol or Salmeterol, BIBN 4096, interferons, interleukin receptors and RSV-antibodies are the most preferred active ingredients.

Within the contents of this invention a reference to the aforementioned active 20 ingredients is to be understood as reference to the active ingredients optionally in the form of their pharmaceutically acceptable acid addition salts, in the form of their solvates and hydrates.

The pharmaceutically acceptable acid addition salts are selected from the group 25 consisting of hydrochloride, hydrobromide, sulfate, phosphate, methansulfonate, acetate, fumarate, lactate, citrate, tartrate and maleate. Preferred acid addition salts are selected from the group consisting of hydrochloride, hydrobromide, sulfate, phosphate and methansulfonate. More preferred acid addition salts are selected from the group consisting of hydrochloride, hydrobromide and methansulfonate.

30

If the active ingredient is selected from the group consisting of Ipratropium, Oxitropium and Tiotropium reference to these ingredients is to be understood as reference to their salts selected from the group consisting of chloride, bromide, iodide, methansulfonate, para-toluenesulfonate or methylsulfate. In the 35 aforementioned salts the active ingredients Ipratropium, Oxitropium and Tiotropium represent cations. Preferred salts of Ipratropium, Oxitropium and Tiotropium are selected from the group consisting of chloride, bromide, iodide and methansulfonate, more preferred are methansulfonate and bromide, the latter one being most preferred.

The active ingredients used for the preparation of the pharmaceutical dosage forms according to the invention can optionally form solvates or hydrates. Accordingly, the term active ingredient not only relates to the salts and acid addition salts as specified
5 hereinbefore, but embraces optionally existing solvates or hydrates thereof. In case of the preferred active ingredient Tiotropiumbromide the monohydrate thereof is of particular interest.

Within the contents of this invention acceptable carrier or, in the case of spray dried
10 active ingredients, encapsulation excipients are selected from the group consisting of monosaccharides (e.g. glucose or arabinose), disaccharides (e.g. lactose, trehalose, sucrose, maltose), oligo- and polysaccharides (e.g. dextrans, hydroxyethyl cellulose), polyalcohols (e.g. sorbit, mannitol, xylit), salts (e.g. sodium chloride, calciumcarbonate), polyesters (e.g. polylactides and their copolymers), polyethers
15 (e.g. PEG), sugar esters and ethers, polyvinyl derivatives (e.g. polyvinylalcohol) or mixtures thereof. Preferred acceptable carrier excipients are selected from mono- or disaccharides, especially lactose and glucose, optionally in the form of their hydrates. Of particular interest according to the invention are lactose-monohydrate and anhydrous glucose. Of particular interest as encapsulating agents are
20 hydroxyethyl starch, trehalose, mannitol and lactose monohydrate or mixtures of mannitol and sucrose.

The average geometric particle size of the optionally added acceptable carrier excipients is in the range of 2 - 100µm, preferably 4 - 60 µm, more preferably 6 - 40
25 µm, most preferably 8 - 35 µm. Of particular interest according to the invention are for example the following carrier excipients: Lactose monohydrate 200 mesh, optionally in mixture with micronized lactose, and glucose anhydrous 35 µm, optionally in mixture with micronized anhydrous glucose .

30 The average geometric particle size of the drug substance in line with this patent is 0.5 - 25 µm, preferably 1 - 20 µm, more preferably 1 - 15 µm. The average mass median aerodynamic diameter (MMAD) of the drug substance in this patent is targeted to be 0.5 - 15 µm, preferably 0.5 - 10 µm, more preferably 0.5 - 8 µm.

35 According to this invention, the term average geometric particle size is defined as the value in µm at which 50% of the particles as determined from the volume distribution of the particles by laser diffraction (dry suspension method) are smaller than or equal to this value. The MMAD in accordance with this patent is measured using appropriate devices such as cascade impactors or impingers as described and

defined in the current pharmacopeias (e.g.: European Pharmacopoeia - Supplement 2001, pages 113 - 124 and 1657 - 1661).

According to the invention the the fatty acid or fatty alcohol derivatives or poloxamers
5 are preferentially sorbitol derivatives, optionally containing polyethylene glycol ether
groups, particularly they are selected from the group consisting of sorbitan mono-
oleate, sorbitan trioleate, sorbitan monostearate, sorbitan tristearate, sorbitan
monolaurate, sorbitan trilaurate, sorbitan monomyristate, sorbitan trimyristate,
sorbitan monopalmitate, sorbitan tripalmitate, preferred PEG derivatives are PEG
10 sorbitan monolaurate, PEG sorbitan monopalmitate, PEG sorbitan monostearate,
PEG sorbitan tristearate, PEG sorbitan mono-oleate and PEG sorbitan trioleate.
Preferred sorbitol derivatives are sorbitan mono-oleate , sorbitan trioleate sorbitan
monostearate, sorbitan tristearate, PEG sorbitan monolaurate and PEG sorbitan
mono-oleate, most preferred being sorbitan mono-oleate, sorbitan monostearate,
15 sorbitan tristearate and PEG sorbitan mono-oleate.

Within the contents of the invention the term pharmaceutical dosage form is to be
regarded as being equivalent to the term powder for inhalation.

20 The amounts of fatty acid or fatty alcohol derivative or poloxamer relative to the drug
substance or - if carriers or encapsulating agents are present - relative to the drug
substance plus excipient complex, i.e. the drug substance-excipient agglomerate or
mixture or microcapsule, are in the range of 0.001 – 200% w/w, preferably 0.002 -
100% w/w, more preferably 0.01 - 50% w/w. Drug substance and surface modifying
25 component together constitute 0.02 - 100% w/w, preferably 0.05 – 100% w/w, more
preferably 0.1 – 100% w/w of the pharmaceutical dosage form.

The pharmaceutical dosage form according to the invention, is obtainable via
processes of surface modification, involving the physical adsorption of a fatty acid or
30 alcohol derivative or poloxamer (c) from solution or dispersion onto the surface of a
drug (a), present as an insoluble particulate dispersion or by spray drying a solution
or dispersion of the drug containing said fatty acid or alcohol derivative or poloxamer
or by intensively physically mixing a powder containing the microfine drug with the
fatty acid or alcohol derivative or poloxamer.

35

One process (process A) according to the invention comprises the steps of
(i) preparation of a solution or dispersion of components (c) in a solvent, in which
components (a) and optionally a carrier (b) are insoluble;

- (ii) adsorption of components (c) to the surface of (a) and optionally (b) until equilibration;
- (iii) separation of the dosage form by filtration and/or centrifugation, and
- (iv) optionally drying of the resulting dosage form.

5

Another process (process B; spray drying process) according to the invention comprises the steps of:

- (i) dissolving or dispersing components (a) and (c) in the solvent, optionally also adding encapsulating agents (d),
- 10 (ii) spray drying the solution or dispersion in a spray dryer under appropriate conditions resulting in microfine particles according to the particle size range described above
- (iii) harvesting the spray dried particles in the cyclone or in the filter
- (iv) optionally drying the particles to reach the wanted moisture content
- (v) and finally optionally diluting the powder by addition of a carrier substance (b).

15

Another process (process C) according to the invention comprises the steps of:

- (i) intensively mixing a powder containing the microfine drug substance (a), optionally also drug carrier (b), using standard mixing machines such as a Diosna mixer or a Lödige mixer,
- 20 (ii) either adding before start of the mixing process or, preferentially, during the mixing process components (c) to the powder and
- (iii) running the mixing process for a while to enable that components (c) coat the surface of components (a) and optionally (b).

25 Another aspect of the invention relates to the processes of preparation of a pharmaceutical dosage form as described hereinbefore. Another aspect of the invention relates to a pharmaceutical dosage form obtainable via to the aforementioned process.

30 In the first process according to the invention (process A), the active substances are water soluble and thus a non-aqueous solvent, preferably a water-immiscible organic solvent, was required for the adsorbate. Therefore, the solvent for step (i) in the first process (process A) is preferably a C₃-C₁₂ alkane or a C₃-C₁₂ cycloalkane, more preferably a C₅-C₈ alkane or a C₅-C₈ cycloalkane. The most preferred solvent is *n*-
35 hexane or cyclohexane.

In the second process according to the invention (process B) the solvent for step (i) in needs not to be a solvent in which for instance component (a) is insoluble. The solvent is preferably selected from water, aqueous buffer-solutions like for instance phosphate-

buffer solutions, alcohols like for instance methanol, ethanol or isopropanol, C₃-C₁₂ alkanes, C₃-C₁₂ cycloalkanes or mixtures thereof. Preferred solvents for step (i) in process B are selected from water, aqueous buffer-solutions like phosphate-buffer solutions, alcohols and mixtures thereof, water and phosphate-buffer solutions being
5 most preferred.

The concentration of the fatty acid or alcohol derivative or poloxamer in the solvent according to process A can vary from 20 mg / L to 10,000 mg / L, is preferably between 100 mg to 8,000 mg / L, more preferably between 200 mg and 5,000 mg /
10 L, the most preferred concentration being 2000 mg / L.

In processes B and C the amount of fatty acid or fatty alcohol derivative or poloxamer added relative to the total solids is in the range of 0.001 to 50 % w/w, preferably between 0.005 and 10 % w/w, most preferred between 0.01 and 5 % w/w.
15

In the processes according to the invention the drug substance is added in concentrations between 0.001% and 50%, preferably between 0.1% and 20%, the most preferred concentration is 2%, i.e. 4 g / 200 ml.

20 From the aforementioned processes A, B, and C processes B and C are of particular interest, especially for processes in technical scale.

The pharmaceutical dosage forms display a variety of surprising and unexpected advantages and are therefore superior over conventional micronized and microfine
25 powders for inhalation. By the surface modification of the active substances via adsorption of or coating by or intensive mixing with fatty acid derivatives the following effects proved to be of extraordinary significance:

- (a) reduction of electrostatic charge acquisition by triboelectrification during pharmaceutical processing and during handling/drug administration,
- 30 (b) reduction of adhesion to contact surfaces,
- (c) improvement of powder flow during pneumatic transport,
- (d) improvement of drug content uniformity during mixing of actives with excipient carriers in DPI formulations and
- (e) improvement of inhalation properties of powders.

35

The methods according to the invention generally provide for

- the reduction of electrostatic charge acquisition by triboelectrification during pharmaceutical processing and during handling/drug administration, and
- the reduction of adhesion to contact surfaces.

It is to be understood that these methods, even though being preferably applicable for the preparation and application of inhalation powders, are not limited to these powders.

- 5 Accordingly, a further aspect of the invention generally relates to a method for the reduction of electrostatic charge acquisition by triboelectrification during pharmaceutical processing and during handling/drug administration, characterized in that a surface modification involving the physical adsorption of a fatty acid or alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug
- 10 present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or alcohol derivative or poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or alcohol derivative or poloxamer is conducted.
- 15 Another aspect of the invention generally relates to a method for the reduction of adhesion to contact surfaces, characterized in that a surface modification involving the physical adsorption of a fatty acid or alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty
- 20 acid or alcohol derivative or poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or alcohol or poloxamer derivative is conducted.

- Another aspect of the invention relates to a method for the improvement of powder
- 25 flow during pneumatic transport, characterized in that a surface modification involving the physical adsorption of a fatty acid or alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or alcohol derivative or poloxamer using spray drying or the intensive mixing of a
- 30 drug containing powder with a fatty acid or alcohol derivative or poloxamer is conducted.

- Another aspect of the invention relates to a method for the improvement of drug content uniformity during mixing of actives with excipient carriers in DPI formulations,
- 35 characterized in that a surface modification involving the physical adsorption of a fatty acid or alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or alcohol derivative or

poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or alcohol derivative or poloxamer is conducted.

Another aspect of the invention relates to a method for the improvement of inhalation properties of powders, characterized in that a surface modification involving the physical adsorption of a fatty acid or alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or alcohol derivative or poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or alcohol derivative or poloxamer is conducted.

The advantages of the inhalation powders (pharmaceutical dosage forms) over conventional inhalation powders mentioned before are discussed and demonstrated in more detail below.

In the processing of micronized or microfine active substances for DPI, it is common to subject the powder to a sieving process in order to remove large agglomerates prior to mixing with the carrier particles used in the DPI formulation. Experimental evidence shows that sieved untreated samples have greater electrostatic charge acquisition by a process of triboelectrification against a contact surface of stainless steel in a cyclone separator. The experimental method for electrostatic charge determinations that was applied is outlined in more detail below.

Comparison of sieved samples of unmodified active and active modified by the adsorption process shows considerable differences in acquired charge. The method applied for the preparation of sieved powder samples is outlined in detail below.

Brief description of the drawings:

Figure 1: Mean specific charge of micronized Fenoterol generated during triboelectrification in a stainless steel cyclone with or without sieving and with and without organic solvent / antistatic agent treatment;

Figure 2: Mass of micronized Fenoterol (1 g samples) transported to the Faraday well during triboelectrification in a stainless steel cyclone with or without sieving and with and without organic solvent / antistatic agent treatment;

Figure 3: Mean specific charge of micronized Tiotropium generated during triboelectrification in a stainless steel cyclone with or without sieving and with and without organic solvent / antistatic agent treatment;

Figure 4: Mass of micronized Tiotropium (1 g samples) transported to the Faraday well during triboelectrification in a stainless steel cyclone with or without sieving and with and without organic solvent / antistatic agent treatment;

Figure 5: Mean specific charge after mixing in Turbula mixer (Fenoterol and Ipratropium);

Figure 6: Mean specific charge after mixing in Turbula mixer (Tiotropium and Oxitropium);

5

Figure 1 provides specific charge values of -40 and -92 nC g^{-1} for unsieved and sieved fenoterol respectively and the charge values in figure 3 for unsieved and sieved tiotropium were $+52$ and $+201 \text{ nC g}^{-1}$ respectively. Figures 1 and 3 show that treatment of the active substances with sorbitan trioleate reduces charge

10 acquisition of sieved samples when using the same process of triboelectrification. An example from these data in figures 3 and 5 shows the mean charge values for the drugs fenoterol and tiotropium when treated at a concentration of 600 mg l^{-1} of sorbitan trioleate in hexane. Sieved samples of the treated fenoterol and tiotropium had mean charge values of -38.4 and $+104 \text{ nC g}^{-1}$ respectively, after

15 triboelectrification in the cyclone apparatus. These data show that charge acquisition for sieved samples can be reduced by surface modification.

Experimental results show that sieving also adversely affects bulk powder properties of the active substances, including adhesion to contact surfaces and pneumatic flow. Figures 2 and 4 provide mass transfer values of powder through the cyclone

20 apparatus by pneumatic conveyance during triboelectrification experiments. Ideally, 100% w/w of the original sample (1g) should pass through the apparatus and this would indicate good flow and non-adhesion.

Figures 2 and 4 provide values of mass transfer of 0.083 and 0.025 g (8.3 and 2.5 \%w/w) for sieved, untreated fenoterol and tiotropium respectively. Treatment of the

25 actives by surface modification with sorbitan trioleate increased the mass transfer values to an extent that was dependent upon treatment concentration. Figure 2 shows increases in mass transfer to between 0.45 - 0.78 g (45 - 78 \%w/w) for fenoterol and in figure 4 the values increase to between 0.092 - 0.29 g (9.2 - 29 \%w/w) for tiotropium.

30 Visual inspection of the steel contact surface showed that powder adhesion was considerably less for surface modified actives. In addition, the adhered treated samples were very easily removed, whereas untreated actives were firmly adhered and very difficult to remove.

35 Triboelectrification of powders occurs during mixing processes. Figures 5 and 6 show values for charge acquisition for powder samples of, (a) carrier excipients, (b) untreated and treated actives and (c) DPI formulations of untreated and treated actives. The results in these figures show that the treatment by adsorption of sorbitan trioleate reduces charge acquisition of both the unformulated and formulated actives

during mixing in a steel mixing vessel of a turbula mixer(for method see experimental part III). Untreated fenoterol in a DPI formulation with glucose as carrier had a mean specific charge of -3.2 nC g^{-1} , whereas the formulation containing treated drug had a value of -0.35 nC g^{-1} (figure 5). Tiotropium (untreated) in DPI
 5 formulation with lactose as carrier had a mean charge value of -0.78 nC g^{-1} and the formulation containing treated drug had a value of 0.15 nC g^{-1} (figure 6).

DPI formulations containing untreated and treated actives were prepared by mixing in a steel vessel of a turbula mixer and 20 random samples from each mix were
 10 analysed for the active component. The methodology applied is outlined in detail below. The mean drug content and coefficient of variation (cv) values in table 1 show that the treatment of tiotropium with sorbitan trioleate improves the mixing quality and hence the drug content uniformity.

15 **Table 1:** Mean drug content and coefficient of variation values for DPI formulations prepared in a turbula mixer:

DPI formulation	Mean drug content (mg)	cv (%)
Untreated tiotropium	0.24	45.8
Treated tiotropium (sorbitan trioleate at 2000 mg / L concentration)	0.22	4.5
Untreated fenoterol	2.1	30.9
Treated fenoterol (sorbitan trioleate at 2000 mg / L concentration)	2.0	4.0

The effect of different sorbitan derivatives on charge and mass transfer is
 20 summarized in table 2 for tiotropium. In all cases, the charge value acquired by triboelectrification in the cyclone apparatus is lower than for untreated tiotropium. The mass transfer values indicate that sorbitan mono-oleate is the most effective derivate for charge reduction and there is little difference in effectiveness between the stearate derivatives.

Table 2: Mean charge $\text{nC g}^{-1}(\text{cv}\%)$, mass transfer ($\%\text{w/w}$) ($\text{cv}\%$) for sieved samples of untreated tiotropium and tiotropium treated with sorbitan derivatives at 600 mg l^{-1}

Sorbitan derivative	Mean charge nC g^{-1}	Mean mass transfer %
Mono-oleate	+39.6 (4.6)	53 (3.8)
Trioleate	+104.5(5.9)	19.4(3.1)
Monostearate	+75.1 (1.1)	33 (9.1)
Tristearate	+70.1 (3.1)	17 (11.8)
Untreated tiotropium	+201 (3.2)	2.5 (8.0)

5

Experimental Part:

I. Electrostatic Charge Determinations

10 **Triboelectrification in a cyclone separator**

Electrostatic charge of powder samples was investigated using a cyclone apparatus linked to a Faraday well and force compensation load cell to measure charge and mass simultaneously. 1 g samples of powder were transported through the apparatus using dry compressed air ($\text{rh} < 10\%$) at 8 m s^{-1} for triboelectrification against a stainless steel surface.

The charge Q (nC) and mass M (g) values were used to calculate the specific charge Q/M (nC g^{-1}) at the completion of each experimental run. The results are mean values with coefficient of variation values for 5 replicates. The mass of material entering the Faraday well was used to quantify the mass transport through the apparatus and this was used to assess the flow and adhesion characteristics of the powder. In addition, the amount of material adhered to the cyclone wall was estimated visually and rated on a scale from 0 (no adhesion) to 3 (extensive adhesion).

25 **Triboelectrification in a Turbula mixer**

The electrostatic charge of the drug/carrier powder mixes (5g) was undertaken after mixing in a stainless steel cylindrical vessel, agitated at 100 rpm for 10 minutes on a Turbula mixer under ambient conditions, by pouring the sample into a Faraday well. The mass of powder entering the Faraday well was recorded to determine the specific charge. In addition, the difference between the mass of powder in the mixing vessel and that in the Faraday well was used to quantify the amount of adhesion to

the mixer vessel wall. The mean specific charge, and coefficient of variation values for 3 replicates are reported.

II. Preparation of sieved powder samples:

- 5 Approximately 10 g of drug powder samples were placed in a 60M (250 μ m) sieve and agitated using a sieve shaker (Glen Creston, 47-300) with an oscillation amplitude regulator at setting 20 for 20 minutes. Sieved powder samples were stored in glass jars and then kept in a desiccator for a week prior to charge investigations in the cyclone

10

III: Effects of mixing

Effect on charging

- The untreated and treated active substances were mixed with carrier excipient in a ratio selected from the range of drug/carrier compositions used in dry powder inhaler formulations. A carrier blend of coarse and micronized carrier was prepared in a turbula mixer for 10 minutes at 100rpm. The active substance (treated or untreated drug) was added and mixed for further 10 minutes prior to charging measurements.

Effect of treatment on drug content and uniformity

- 20 The untreated and treated drugs were mixed with carrier excipient as follows.

5.2036 g lactose 200M:
0.2739 g micronized lactose:
0.0225 g untreated or treated Tiotropium

25

4.4880 g glucose 35 μ m:
0.7920 g glucose 15 μ m:
0.2200 g untreated or treated Fenoterol (total mixing time reduced to 10 minutes, comprising 5 for carrier blend and 5 for carrier/active blend.)

30

- 20 samples, approximately 50mg, were taken at random from each mixed formulation, accurately weighed and dissolved in 20 ml distilled water. Drug concentration in each sample was determined spectrophotometrically at λ_{\max} 237nm and 276 nm for tiotropium and fenoterol respectively. A modified BP content uniformity was applied (20 samples were examined). The mean drug content and coefficient of variation were calculated.

35

IV: Preparation of pharmaceutical dosage forms:**Starting materials:**

- The starting materials are unless otherwise specified commercially available or
- 5 obtainable via conventional methods known in the art.

Tiotropiumbromide monohydrate:

- 15.0 kg Tiotropiumbromide are introduced into 25,7 kg water. Th mixture is heated to 80-90°C and stirred at that temperature until a clear solution is obtained. Charcoal
- 10 (0.8 kg) is introduced into 4.4 kg water and the mixture thus obtained is added to the aforementioned solution of tiotropiumbromide. The obtained reaction mixture is stirred for at least 15 min at 80-90°C and is, subsequently, hot-filtered into another reaction apparatus being preheated to about 70°C. The filter is washed with 8.6 kg of water. The mixture thus obtained is cooled to about 20-25°C (3-5°C per 20 minutes).
- 15 The crystallization is completed by stirring at the aforementioned temperature for at least 1 hour. The crystalline product is isolated and washed with 9 L of cold water (10-15°C) and cold acetone (10-15°C). The crystals are dried for 2 hours at about 25°C under nitrogen. Yield : 13.4 kg tiotropiumbromide monohydrate (86 %).
- 20 The crystalline tiotropiumbromide monohydrate thus obtained is micronized according to conventional methods known in the art.

Preparation of formulation via physical adsorption:

- 4 g of drug were equilibrated with adsorbate in hexane in a concentration range from
- 25 200 to 2×10^3 mg l⁻¹ in an incubator agitated at 220rpm for 3 hours at $25 \pm 0.5^\circ\text{C}$. The treated drug was filtered using vacuum and dried in a fume cupboard to constant weight at room temperature. Dried treated drugs were lightly milled using a mortar and a pestle.

30 **Preparation of formulation via spray drying:**

- Up to 20 g solids including the drug substance, the embedding agent and 0.001 to 2% (w/100 ml) of the fatty acid or alcohol derivative or poloxamer were dissolved or dispersed in water or aqueous buffer solution, e.g. 20 mM phosphate buffer, in an alcohol, a ketone, a hydrocarbon or halogenated hydrocarbon, or in a mixture
- 35 thereof. The mixture was spray dried using an appropriate spray dryer such as a Büchi Mini SprayDrier, a Niro SDMicro or a Niro Mobile Minor, and harvested from the cyclon or the filter or both. The resulting powder may be vacuum dried at 40°C to reduce residual moisture.

V. Examples for formulation of pharmaceutical dosage forms prepared in line with this patent:

Example 1:

5 4 g Fenoterol hydrobromide are dispersed in an incubator in 200 ml of n-hexane containing 2000 mg/L sorbitan trioleate and agitated at 220rpm for 3 hours at $25 \pm 0.5^\circ\text{C}$. The treated drug is filtered using vacuum and dried in a fume cupboard to constant weight at room temperature, followed by lightly milling using a mortar and a pestle and sieving through a 250 μm sieve.
10 Electrostatic charge after one week storage in a dessicator at room temperature: - 24.7 nC/g specific charge and 78.3% transported mass.

Composition of formulation:

0.2200 g Fenoterol hydrobromide, treated with sorbitan trioleate (see hereto
15 above);

4.4880 g Glucose 35 μm ;

0.7920 g micronized Glucose;

The components are carefully mixed and filled into capsules or blisters for use
20 in commercial inhaler devices

Example 2

4 g Tiotropiumbromide monohydrate are dispersed in an incubator in 200 ml of n-hexane containing 3000 mg/L sorbitan trioleate and agitated at 220rpm for 3 hours at $25 \pm 0.5^\circ\text{C}$. The treated drug is filtered using vacuum and dried
25 in a fume cupboard to constant weight at room temperature, followed by lightly milling using a mortar and a pestle and sieving through a 250 μm sieve. Electrostatic charge after one week storage in a dessicator at room temperature: - 96.4 nC/g specific charge and 13.5% transported mass.

Composition of formulation:

0.0225 g Tiotropiumbromide monohydrate, treated with sorbitan trioleate (see
30 hereto above);

5.2036 g Lactose 200 M;

0.2739 g micronized lactose;

35 The components are carefully mixed and filled into capsules or blisters for use in commercial inhaler devices.

Example 3

4 g Tiotropiumbromide monohydrate are dispersed in an incubator in 200 ml of n-hexane containing 2000 mg/L sorbitan monostearate and agitated at 220rpm for 3 hours at $25 \pm 0.5^\circ\text{C}$. The treated drug is filtered using vacuum and dried in a fume cupboard to constant weight at room temperature, followed by lightly milling using a mortar and a pestle and sieving through a 250 μm sieve. Electrostatic charge after one week storage in a dessicator at room temperature: - 31.4 nC/g specific charge and 63.7% transported mass.

Composition of formulation:

0.0225 g Tiotropiumbromide monohydrate, treated with sorbitan monostearate (see hereto above);

5.2036 g Lactose 200 M;

0.2739 g micronized lactose;

The components are carefully mixed and filled into capsules or blisters for use in commercial inhaler devices.

Example 4

4 g Tiotropiumbromide monohydrate are dispersed in an incubator in 200 ml of n-hexane containing 2000 mg/L sorbitan mono-oleate and agitated at 220rpm for 3 hours at $25 \pm 0.5^\circ\text{C}$. The treated drug is filtered using vacuum and dried in a fume cupboard to constant weight at room temperature, followed by lightly milling using a mortar and a pestle and sieving through a 250 μm sieve. Electrostatic charge after one week storage in a dessicator at room temperature: - 31.4 nC/g specific charge and 60.0% transported mass.

Composition of formulation:

0.0225 g Tiotropiumbromide monohydrate, treated with sorbitan mono-oleate (see hereto above);

5.2036 g Lactose 200 M;

0.2739 g micronized lactose;

The components are carefully mixed and filled into capsules or blisters for use in commercial inhaler devices.

Example 5

4 g Oxitropiumbromide are dispersed in an incubator in 200 ml of n-hexane containing 2000 mg/L sorbitan trioleate and agitated at 220rpm for 3 hours at $25 \pm 0.5^\circ\text{C}$. The treated drug is filtered using vacuum and dried in a fume cupboard to constant weight at room temperature, followed by lightly milling

using a mortar and a pestle and sieving through a 250 μm sieve. Electrostatic charge after one week storage in a dessicator at room temperature: 78.7 nC/g specific charge and 33.1% transported mass.

5 Composition of formulation:

0.11 g Oxitropiumbromide, treated with sorbitan trioleate (see hereto above);

4.5815 g Glucose 35 μm ;

0.8085 g micronized glucose;

10 The components are carefully mixed and filled into capsules or blisters for use in commercial inhaler devices.

Example 6

15 4 g Ipratropiumbromide are dispersed in an incubator in 200 ml of n-hexane containing 2000 mg/L sorbitan trioleate and agitated at 220rpm for 3 hours at $25 \pm 0.5^\circ\text{C}$. The treated drug is filtered using vacuum and dried in a fume cupboard to constant weight at room temperature, followed by lightly milling using a mortar and a pestle and sieving through a 250 μm sieve. Electrostatic charge after one week storage in a dessicator at room temperature: 78.2 nC/g specific charge and 34.2% transported mass.

20 Composition of formulation:

0.2296 g Ipratropiumbromide, treated with sorbitan trioleate (see hereto above);

4.2163 g Glucose 35 μm ;

25 1.0541 g micronized glucose;

The components are carefully mixed and filled into capsules or blisters for use in commercial inhaler devices

Example 7

30 10 g of trehalose is dissolved in 50 ml of 20 mM phosphate buffer pH 5.5 containing 0.1% Tween 80 (PEG sorbitan mono-oleate). 50 ml of a solution of 55 mg of Interferon-omega in 20 mM phosphate buffer pH 5.5 is slowly added under gentle stirring. The solution is spray dried at 90°C inlet temperature and 60°C outlet temperature. The almost free flowing powder is easily harvested from the cyclon and dried under vacuum for 6 hours at 40°C . The powder is filled into capsules, but may be diluted by carrier 1:10 prior to filling into the capsules.

35

Example 8

5 10 g of hydroxyethyl starch is dissolved in 100 ml of 20 mM phosphate buffer pH 5.5 containing 0.5% Tween 80 (PEG sorbitan mono-oleate). 100 ml of a solution of 55 mg of Interferon-omega in 20 mM phosphate buffer pH 5.5 is slowly added under gentle stirring. The solution is spray dried at 90°C inlet temperature and 60°C outlet temperature. The powder is harvested from the cyclon and dried under vacuum for 6 hours at 40°C. The powder is filled into capsules, but may be diluted by carrier 1:10 prior to filling into the capsules.

Claims

1. An improved pharmaceutical dosage form for the use in a dry powder inhalation device (DPI) which comprises
 - (a) at least one micronized or spray dried solid active ingredient, which is soluble in water;
 - (b) optionally a solid, pharmaceutically acceptable carrier excipient, which dilutes the active ingredient (a);
 - (c) a fatty acid or alcohol derivative or a poloxamer;characterized in that the fatty acid or alcohol derivative or poloxamer (c) coats at least partially the surface of (a) or the surface of the agglomerate or mixture formed by (a) and (b) or the surface of the microcapsule consisting of the drug and optionally one or more encapsulating agents.
2. A dosage form as defined in claim 1 obtainable by a process comprising the steps of:
 - (i) preparation of a solution or dispersion of component (c) in a solvent, in which components (a) and optionally a carrier (b) are insoluble;
 - (ii) adsorption of component (c) to the surface of (a) and optionally (b) until equilibration;
 - (iii) separation of the dosage form by filtration and/or centrifugation, and
 - (iv) optionally drying of the resulting dosage form.
3. A dosage form as defined in claim 2 wherein the solvent of step (i) is a C₃-C₁₂ alkane or a C₃-C₁₂ cycloalkane.
4. A dosage form as defined in claim 3 wherein the solvent of step (i) is a C₅-C₈ alkane or a C₅-C₈ cycloalkane, preferably *n*-hexane or cyclohexane.
5. A dosage form as defined in claim 1 obtainable by a process comprising the steps of:
 - (i) dissolving or dispersing components (a) and (c) in a solvent, optionally also adding encapsulating agents (d),
 - (ii) spray drying the solution or dispersion in a spray dryer under appropriate conditions resulting in microfine particles according to the particle size range described above,
 - (iii) harvesting the spray dried particles in the cyclone or in the filter,
 - (iv) optionally drying the particles to reach the wanted moisture content,

(v) and finally optionally diluting the powder by addition of a carrier substance (b).

- 5 6. A dosage form as defined in claim 1 obtainable by a process comprising the steps of:
- (i) intensively mixing a powder containing the microfine drug substance (a), optionally also drug carrier (b), using standard mixing machines such as a Diosna mixer or a Lödige mixer,
- 10 (ii) either adding before start of the mixing process or, preferentially, during the mixing process components (c) to the powder and
- (iii) running the mixing process for a while to enable that components (c) coat the surface of components (a) and optionally (b).
- 15 7. A dosage form as defined in any of the preceding claims wherein the solid active ingredient (a) is a drug for medical or diagnostic use, selected from the group consisting of anti-COPD-agents, anti-asthmatics, anti-migraine agents, anti-infective agents, anti-pain-agents, proteoglycans, therapeutic proteins, peptides and genes.
- 20 8. A dosage form as defined in any of the preceding claims wherein the active ingredient (a) or the microcapsules or agglomerates containing the active ingredient have a mean mass aerodynamic diameter of 0.5 – 8 μm .
- 25 9. A dosage form as defined in any of the preceding claims wherein the pharmaceutically acceptable carrier (b) or the pharmaceutically acceptable encapsulating agent or both are a carbohydrate or an inorganic salt.
- 30 10. A dosage form as defined in claim 9 wherein the pharmaceutically acceptable carrier is selected from lactose and glucose, optionally containing portions of micronized carrier, and the pharmaceutically acceptable encapsulating agent is selected from trehalose, lactose, hydroxyethyl starch, mannitol or mannitol-sucrose, or mixtures thereof.
- 35 11. A dosage form as defined in any of the preceding claims wherein the fatty acid or alcohol derivative or the poloxamer (c) is a fatty acid sorbitan ester or a PEG ether thereof.

12. A dosage form as defined in claim 11 wherein the the sorbitol derivative (c) is selected from the group consisting of sorbitan mono-oleate, sorbitan trioleate, sorbitan monostearate, sorbitan tristearate, sorbitan monolaurate, sorbitan trilaurate, sorbitan monomyristate, sorbitan trimyristate, sorbitan
5 monopalmitate, sorbitan tripalmitate, PEG sorbitan monolaurate, PEG sorbitan monopalmitate, PEG sorbitan monostearate, PEG sorbitan tristearate, PEG sorbitan mono-oleate and PEG sorbitan trioleate.
13. A dosage form as defined in any of the preceding claims the mean specific
10 charge thereof has been reduced for at least 50 % compared with the corresponding sieved active ingredient (a) which has not been treated with (c).
14. A method for the reduction of electrostatic charge acquisition by
15 triboelectrification during pharmaceutical processing and during handling/drug administration, characterized in that a surface modification involving the physical adsorption of a fatty acid or fatty alcohol derivative or a poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or
20 dispersed drug by a fatty acid or a fatty alcohol derivative or a poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or fatty alcohol derivative or a poloxamer is conducted.
15. A method for the reduction of adhesion to contact surfaces, characterized in
25 that a surface modification involving the physical adsorption of a fatty acid or a fatty alcohol derivative or a poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or a fatty alcohol derivative or a poloxamer using spray drying or the intensive mixing of
30 a drug containing powder with a fatty acid or a fatty alcohol derivative or a poloxamer is conducted.
16. A method for the improvement of powder flow during pneumatic transport,
35 characterized in that a surface modification involving the physical adsorption of a fatty acid or fatty alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or fatty alcohol derivative or poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or fatty alcohol derivative or poloxamer is conducted.

17. A method for the improvement of drug content uniformity during mixing of actives with excipient carriers in DPI formulations, characterized in that a surface modification involving the physical adsorption of a fatty acid or a fatty alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or fatty alcohol derivative or poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or fatty alcohol derivative or poloxamer is conducted.
18. A method for the improvement of inhalation properties of powders, characterized in that a surface modification involving the physical adsorption of a fatty acid or fatty alcohol derivative or poloxamer from solution or dispersion onto the surface of a drug present as an insoluble particulate dispersion in the solution or the coating of the dissolved or dispersed drug by a fatty acid or fatty alcohol derivative or poloxamer using spray drying or the intensive mixing of a drug containing powder with a fatty acid or fatty alcohol derivative or poloxamer is conducted.
19. A method for the manufacture of an improved pharmaceutical dosage form for the use in a dry powder inhaler (DPI) which comprises the steps of:
- (i) preparation of a solution or dispersion of a fatty acid or fatty alcohol derivative or poloxamer (c) in a solvent, in which the microfine solid active ingredient, which is water soluble (a), or the agglomerate or mixture formed by (a) and the pharmaceutically acceptable carrier excipient (b) are insoluble;
 - (ii) adsorption of component (c) to the surface of (a) and optionally (b) until equilibration;
 - (iii) separation of the dosage form by filtration and/or centrifugation, and
 - (iv) optionally drying of the resulting dosage form.

20. A further method for the manufacture of an improved pharmaceutical dosage form for the use in a dry powder inhaler (DPI) which comprises the steps of:
(i) dissolving or dispersing components (a) and (c) in a solvent, optionally also adding encapsulating agents (d),
5 (ii) spray drying the solution or dispersion in a spray dryer under appropriate conditions resulting in microfine particles according to the particle size range described above
(iii) harvesting the spray dried particles in the cyclone or in the filter
(iv) optionally drying the particles to reach the wanted moisture content
10 (v) and finally optionally diluting the powder by addition of a carrier substance (b).
21. A third method for the manufacture of an improved pharmaceutical dosage form for the use in a dry powder inhaler (DPI) which comprises the steps of:
15 (i) intensively mixing a powder containing the microfine drug substance (a), optionally also drug carrier (b), using standard mixing machines such as a Diosna mixer or a Lödige mixer,
(ii) either adding before start of the mixing process or, preferentially, during the mixing process components (c) to the powder and
20 (iii) running the mixing process for a while to enable that components (c) coat the surface of components (a) and optionally (b).
22. A dry powder inhalation device comprising:
(a) manually actuatable metered dosing means;
25 (b) a mouthpiece; and
(c) a housing defining a supply chamber which contains a pharmaceutical dosage form according to any of claims 1 to 13.
23. A method for prevention and/or treatment of diseases which are susceptible via
30 inhalation route, which method comprises administering an effective amount of a dosage form according to claim 1 to 13 to a person in need thereof.
24. The method according to claim 23, which comprises administering an effective amount of a dosage form according to claim 1 to 13 with the aid of an inhalation
35 device according to claim 22.

1/6

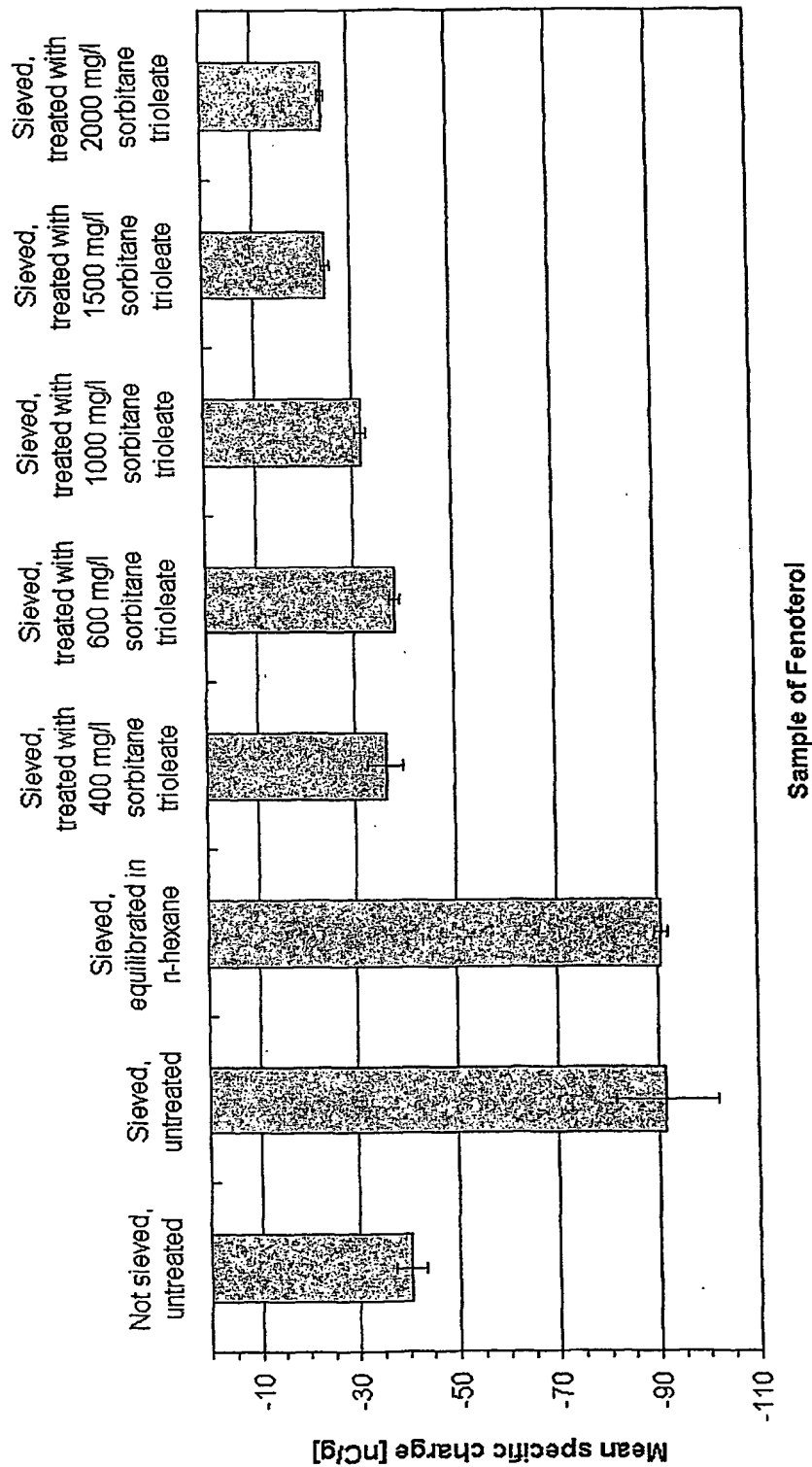


Figure 1

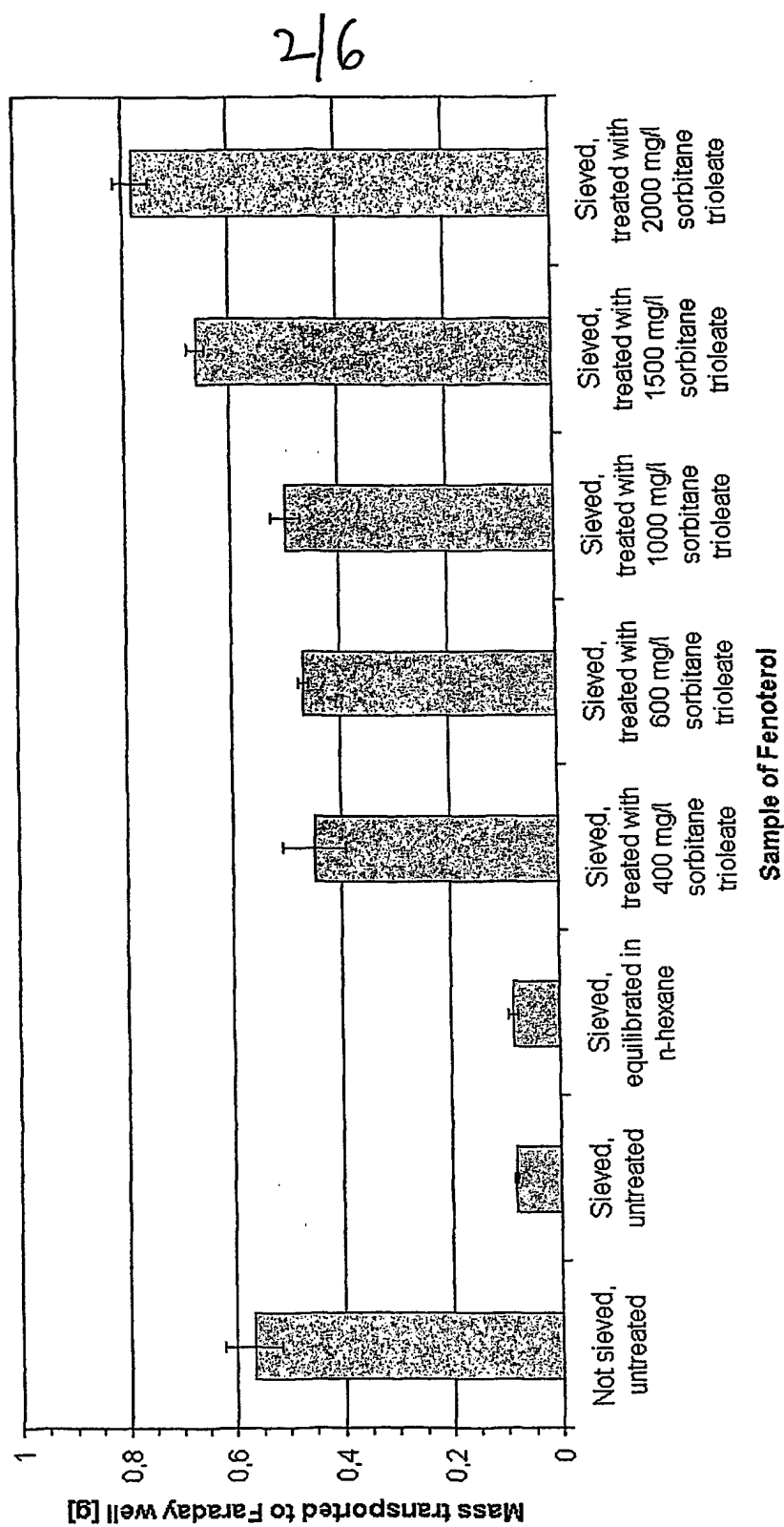


Figure 2

3/6

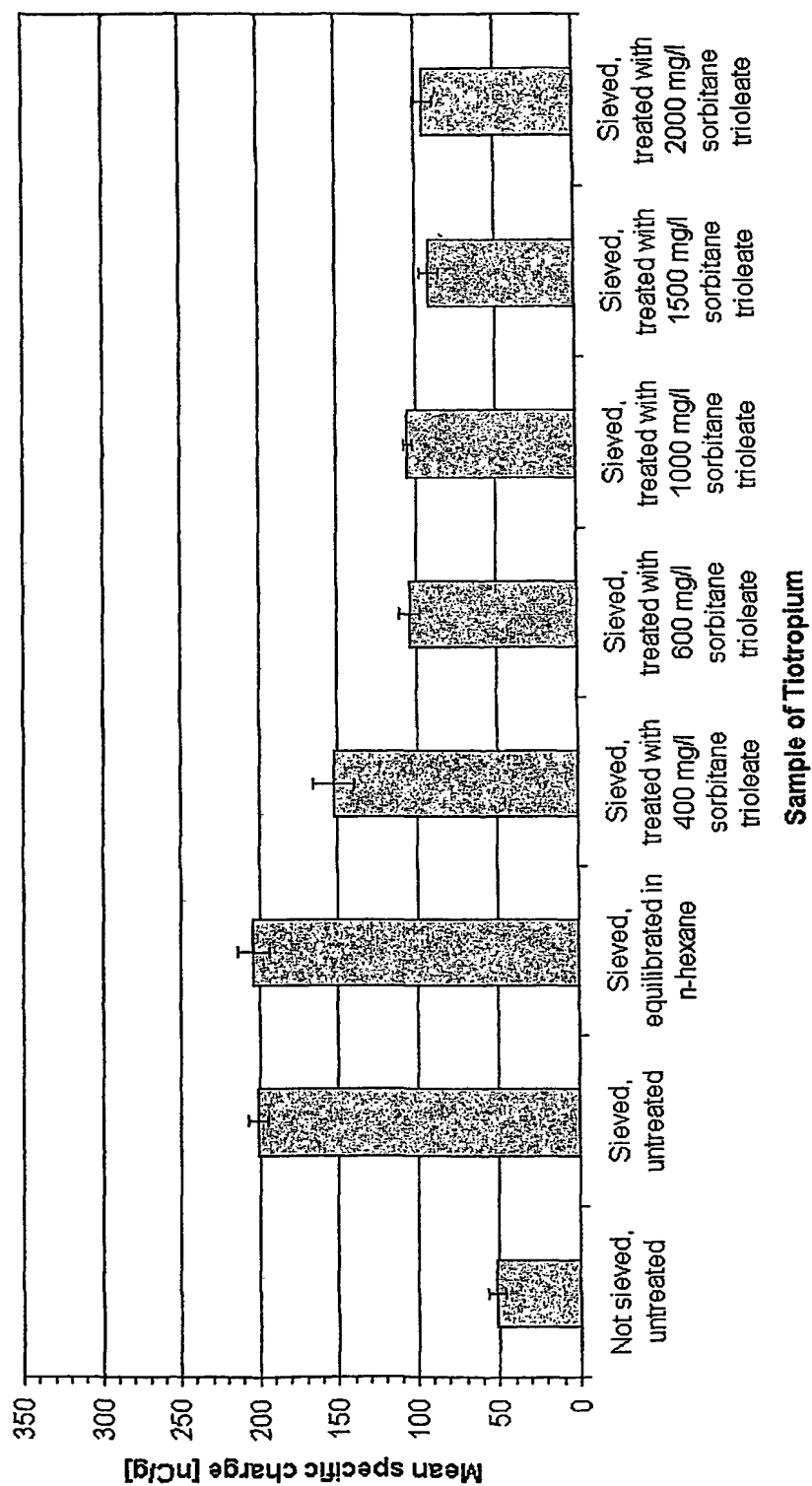


Figure 3

4/6

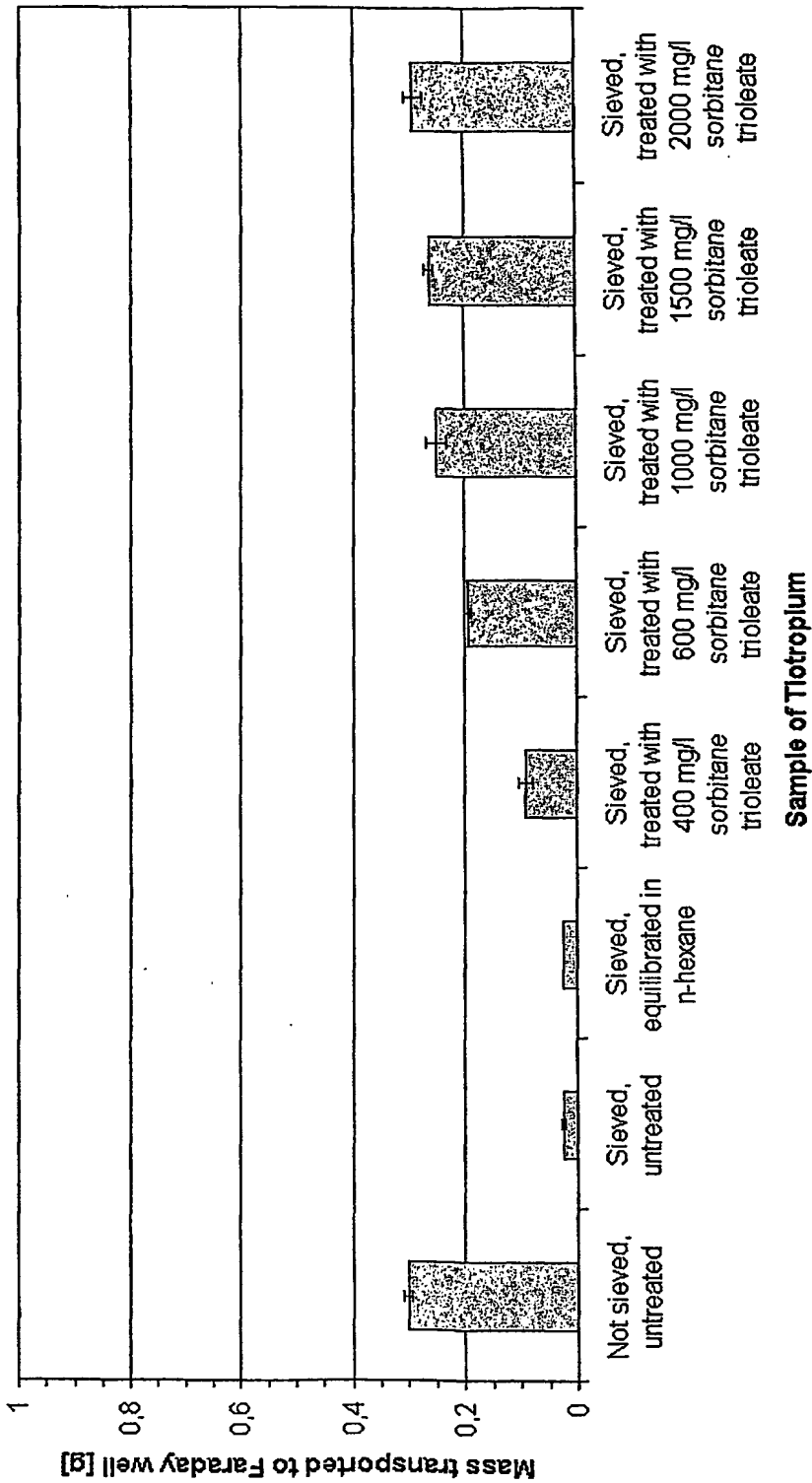


Figure 4

5/6

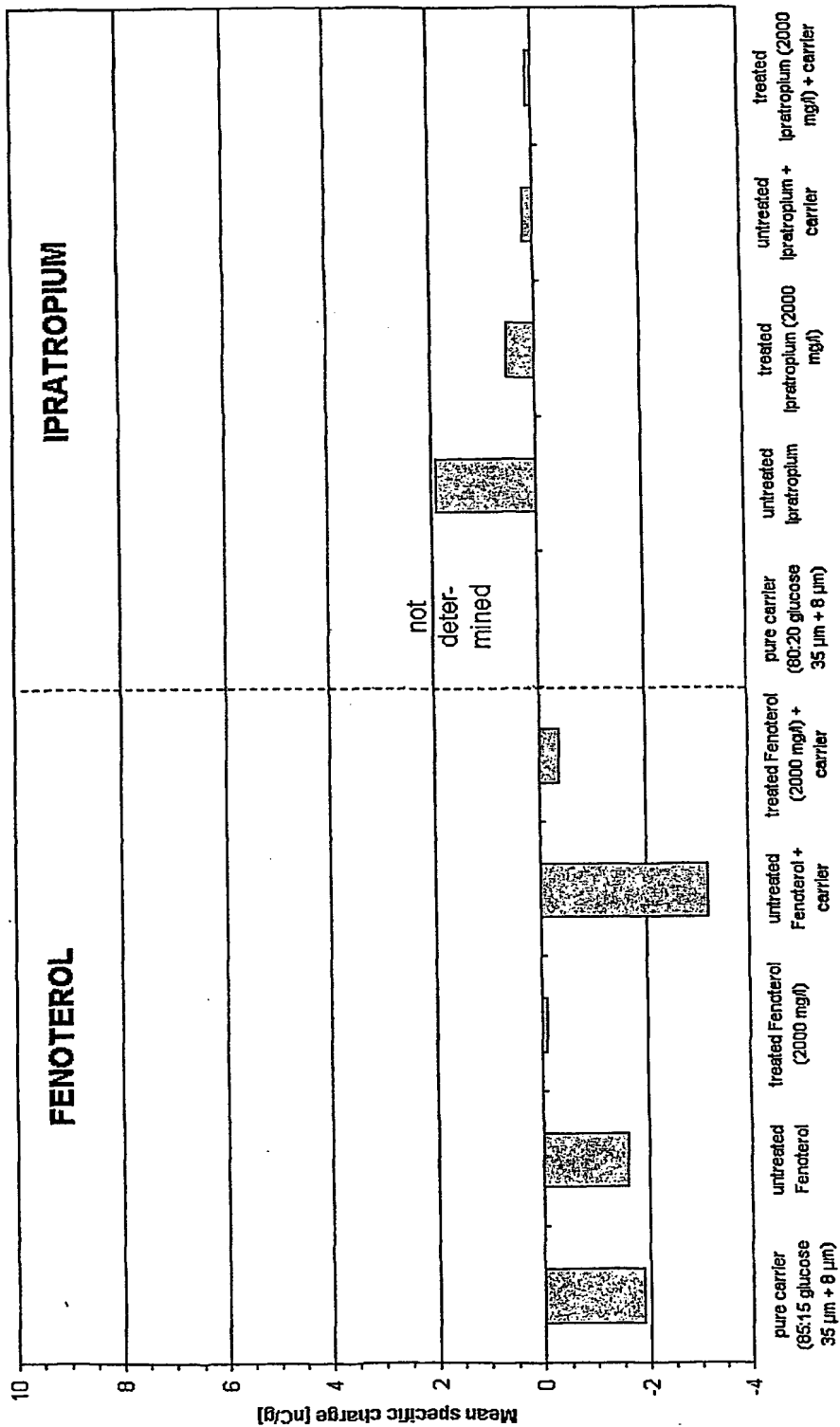


Figure 5

6/6

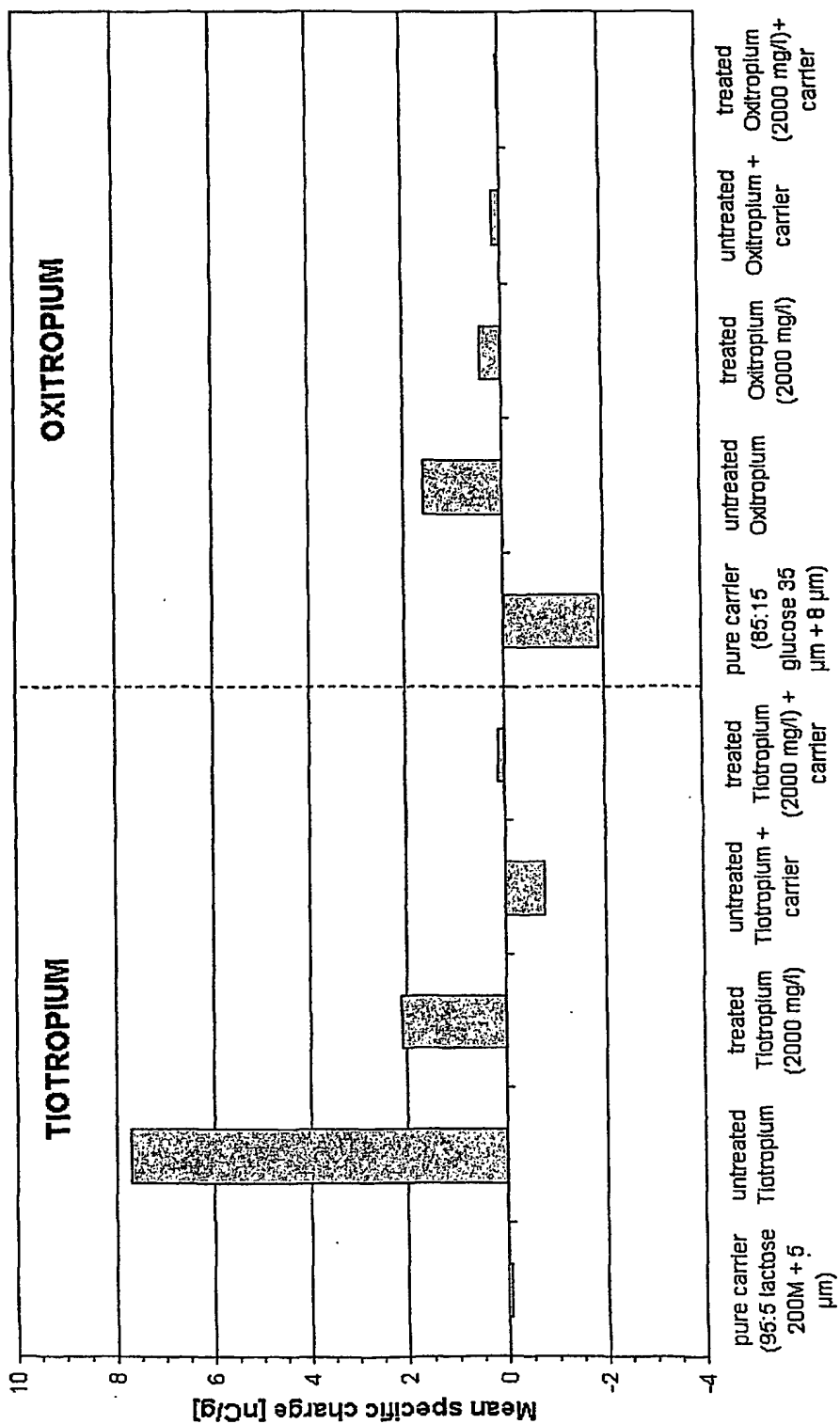


Figure 6

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
17 October 2002 (17.10.2002)

PCT

(10) International Publication Number
WO 02/080884 A3

(51) International Patent Classification⁷: **A61K 9/14**,
47/00, A61P 11/00, A61K 47/10, 47/26, 9/16

(21) International Application Number: PCT/EP02/02948

(22) International Filing Date: 16 March 2002 (16.03.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0107106.7 21 March 2001 (21.03.2001) GB

(71) Applicant (for all designated States except US):
**BOEHRINGER INGELHEIM PHARMA GMBH
& CO. KG** [DE/DE]; Binger Strasse 173, 55216 Ingel-
heim am Rhein (DE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **BECHTOLD-PE-
TERS, Karoline** [DE/DE]; Ulmenweg 12, 88400 Biber-
ach-Rissegg (DE). **NGUYEN, Hanh** [VN/GB]; 38, Belle
Vue Gardens, Alnwick, Northumberland NE66 1XX (GB).
ROWLEY, Geoffrey [GB/GB]; 10, Greystoke Avenue,
Ashbrooke, Sunderland, Tyne and Wear, SR2 9DX (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,
SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ,
VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,
NE, SN, TD, TG).

Published:

— with international search report

(88) Date of publication of the international search report:
16 October 2003

*For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

(54) Title: POWDER INHALER FORMULATIONS

(57) Abstract: The present invention relates to new methods for the surface modification of powders. Furthermore the present invention relates to new, improved pharmaceutical dosage forms obtainable by the new methods for surface modification of drugs according to the invention and to the use of these pharmaceutical dosage forms within dry powder inhalation devices (DPI).



WO 02/080884 A3

INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 02/02948

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K9/14 A61K47/00 A61P11/00 A61K47/10 A61K47/26 A61K9/16				
According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61K				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the International search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ, MEDLINE, BIOSIS, EMBASE				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
X	CASSIDY O ET AL: "The effect of surface modification on electrostatic charge of a particulate solid." EUROPEAN JOURNAL OF PHARMACEUTICAL SCIENCES, vol. 4, no. SUPPL., 1996, page S65 XP002232704 Third European Congress of Pharmaceutical Sciences; Edinburgh, Scotland, UK; September 15-17, 1996 ISSN: 0928-0987 abstract --- -/---	14-18		
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.				
<input checked="" type="checkbox"/> Patent family members are listed in annex.				
* Special categories of cited documents :				
<table border="0"> <tr> <td> *A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the International filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the International filing date but later than the priority date claimed </td> <td> *T* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family </td> </tr> </table>			*A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the International filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the International filing date but later than the priority date claimed	*T* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family
A document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the International filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the International filing date but later than the priority date claimed	*T* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family			
Date of the actual completion of the International search 27 February 2003		Date of mailing of the International search report 20/03/2003		
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer Pacreu Largo, M		

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 02/02948

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>NGUYEN H ET AL: "The potential of surface modification to improve wetting, dissolution and electrostatic charge of a hydrophobic drug." JOURNAL OF PHARMACY AND PHARMACOLOGY, vol. 49, no. SUPPL. 4, 1997, page 41 XP009005828 134th Meeting of the British Pharmaceutical Conference; Scarborough, England, UK; September 15-18, 1997 ISSN: 0022-3573 abstract</p>	14-18
X	<p>CASSIDY O E ET AL: "Surface modification and electrostatic charge of polystyrene particles." INTERNATIONAL JOURNAL OF PHARMACEUTICS. NETHERLANDS 25 MAY 1999, vol. 182, no. 2, 25 May 1999 (1999-05-25), pages 199-211, XP001145533 ISSN: 0378-5173 page 199 -page 200 page 209, column 2 page 204, column 2, last paragraph</p>	14-18
Y	<p>CASSIDY, O.E. AND ROWLEY, G.: "The effect of drug surface modification by adsorbed polymer on electrostatic charge" PROC. PHARM. TECH. CONF., vol. 16, no. 3, 1997, pages 5-11, XP009005985 page 5, paragraph 1</p>	14-18
Y	<p>ROWLEY G ET AL: "The development of a particle carrier technique to aid the investigation of electrostatic charging properties of micronized pharmaceutical powders." JOURNAL OF PHARMACY AND PHARMACOLOGY, vol. 47, no. 12B, 1995, page 1100 XP009005825 British Pharmaceutical Conference 1995: Science Proceedings of the 132nd Meeting; Warwick, England, UK; September 15-18, 1995 ISSN: 0022-3573 , sentences 1-8</p>	14-18

-/--

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 02/02948

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	CARTER P A ET AL: "Electrostatic charge on inhaled powers." EUROPEAN JOURNAL OF PHARMACEUTICAL SCIENCES, vol. 6, no. SUPPL. 1, August 1998 (1998-08), page S63 XP002232941 Fourth European Congress of Pharmaceutical Sciences; Milan, Italy; September 11-13, 1998 ISSN: 0928-0987 page 1-3	14-18
X	US 4 533 542 A (BUDDENBAUM HARRY C ET AL) 6 August 1985 (1985-08-06) column 3, line 33-35 column 5, line 11-37 column 6, line 33-36 claims 1-3; example 1	1-12
X	US 3 082 154 A (VICTOR ALLAN LIONEL) 19 March 1963 (1963-03-19) column 2, line 8-21, 51-70 claim 1	1-12
X	WO 92 08447 A (GLAXO GROUP LTD) 29 May 1992 (1992-05-29) page 7, paragraph 1	1,2,7,8, 11,12
X	US 4 540 602 A (MOTOYAMA SHIMESU ET AL) 10 September 1985 (1985-09-10) example 18	1,5,7,8, 11,12
X	WO 00 57881 A (MUKAI TADASHI ;OKA YOSHIKAZU (JP); TODA MASAFUMI (JP); TOMOHIRA YU) 5 October 2000 (2000-10-05) page 14, line 15-25 page 47; examples 33,34	1,7,11, 12
A	WO 92 09322 A (BOEHRINGER INGELHEIM INT ;BOEHRINGER INGELHEIM KG (DE); ORION YHTY) 11 June 1992 (1992-06-11) abstract	22

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Present claims 1, 11, 14-19 relate to an extremely large number of possible compounds. The phrase "fatty acid or alcohol derivative" could be interpreted as comprising fatty acids, fatty acid derivatives, fatty alcohol derivatives and any alcohol derivative. Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only fatty acid sorbitan esters. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely the compounds of claims 11 or 12, or in the description, page 4, lines 4-15.

Furthermore, the phrase "or the surface of the microcapsule..." in claim 1 is not clear within the meaning of Article 6 PCT. No microcapsule which could be at least partially coated with compound (c) is previously mentioned in the claim. Therefore, this term has not been searched.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/EP 02/02948

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 02/02948

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4533542	A	06-08-1985	CA 1231059 A1 US 4684643 A	05-01-1988 04-08-1987
US 3082154	A	19-03-1963	GB 935426 A DE 1130556 B FR 1342115 A NL 263733 A	28-08-1963 30-05-1962 08-11-1963
WO 9208447	A	29-05-1992	AT 127012 T AU 653369 B2 AU 8862991 A CA 2094727 A1 DE 69112635 D1 DE 69112635 T2 DK 556239 T3 EP 0556239 A1 ES 2078550 T3 WO 9208447 A1 GR 3018171 T3 JP 6501693 T US 5785952 A	15-09-1995 29-09-1994 11-06-1992 10-05-1992 05-10-1995 08-02-1996 06-11-1995 25-08-1993 16-12-1995 29-05-1992 29-02-1996 24-02-1994 28-07-1998
US 4540602	A	10-09-1985	JP 55139319 A JP 1322043 C JP 55167218 A JP 60024768 B JP 1257654 C JP 55167219 A JP 58007609 B CH 646052 A5 DE 3013839 A1 GB 2050828 A ,B GB 2122085 A ,B IT 1143089 B	31-10-1980 11-06-1986 26-12-1980 14-06-1985 29-03-1985 26-12-1980 10-02-1983 15-11-1984 30-10-1980 14-01-1981 11-01-1984 22-10-1986
WO 0057881	A	05-10-2000	AU 3196500 A EP 1162973 A1 WO 0057881 A1 JP 2001163769 A WO 0123000 A1	16-10-2000 19-12-2001 05-10-2000 19-06-2001 05-04-2001
WO 9209322	A	11-06-1992	AT 109361 T AU 659110 B2 AU 8844391 A BR 9107096 A CA 2097288 A1 CN 1062847 A ,B CZ 9301020 A3 DE 69103281 D1 DK 559663 T3 EE 2998 B1 WO 9209322 A1 EP 0559663 A1 ES 2057921 T3 FI 932421 A HK 1000204 A1 HU 65186 A2 IE 914145 A1	15-08-1994 11-05-1995 25-06-1992 13-10-1993 30-05-1992 22-07-1992 17-11-1993 08-09-1994 26-09-1994 16-06-1997 11-06-1992 15-09-1993 16-10-1994 27-05-1993 06-02-1998 02-05-1994 03-06-1992

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 02/02948

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9209322	A	IL 100038 A	19-01-1996
		JP 3287844 B2	04-06-2002
		JP 6502784 T	31-03-1994
		KR 183376 B1	01-04-1999
		LT 3123 B	27-12-1994
		LV 10397 A , B	20-02-1995
		NO 931969 A	28-05-1993
		NZ 240573 A	26-05-1995
		PL 167216 B1	31-08-1995
		RU 2097069 C1	27-11-1997
		SK 54693 A3	09-09-1993
		US 5575280 A	19-11-1996
		ZA 9109388 A	28-05-1993

THIS PAGE BLANK (ASPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)